



SACHI A. HAMAI
EXECUTIVE OFFICER

COUNTY OF LOS ANGELES BOARD OF SUPERVISORS

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BOARD OF SUPERVISORS
COUNTY OF LOS ANGELES

August 04, 2009

The Honorable Board of Supervisors
County of Los Angeles
383 Kenneth Hahn Hall of Administration
500 West Temple Street
Los Angeles, California 90012

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AUGUST 4, 2009

Sachi A. Hamai
SACHI A. HAMAI
EXECUTIVE OFFICER

Dear Supervisors:

CONFLICT OF INTEREST AND DISCLOSURE CODE (ALL DISTRICTS) (3-VOTES)

SUBJECT

ADOPTION OF CONFLICT OF INTEREST AND DISCLOSURE CODES.

IT IS RECOMMENDED THAT YOUR BOARD:

Approve the Conflict of Interest and Disclosure Codes for Westside Cities Council of Governments; Antelope Valley Air Quality Management District; Arts Commission; Department of Public Works; Hacienda La Puente Unified School District; MERGE Risk Management Joint Powers Authority; Metropolitan Transportation Authority; Pomona Unified School District; and West Basin Municipal Water District to be effective the day following your Board's approval.

PURPOSE/JUSTIFICATION OF RECOMMENDED ACTION

The recommendations are necessary in order to comply with the provisions of the Political Reform Act of 1974. In accordance with the Act, the Board of Supervisors must approve a Conflict of Interest and Disclosure Code for each local government agency for which the Board of Supervisors is the code reviewing body.

Pursuant to Government Code Section 87300, every agency must adopt and promulgate a Conflict of Interest and Disclosure Code that, as the code reviewing body for Los Angeles County, the Board of Supervisors must approve before the code can then take effect. In addition, pursuant to Government Code Section 87306, agencies are required to amend their Conflict of Interest Codes when such amendments are necessitated by changed circumstances.

Implementation of Strategic Plan Goals

Approval of the attached codes broadly supports the County Strategic Goal of Workforce Excellence.

The proposed Conflict of Interest and Disclosure Codes have been thoroughly reviewed and approved by the code review staff in accordance with the procedures established by your Board.

FISCAL IMPACT/FINANCING

Local governmental agencies must have a Conflict of Interest and Disclosure Code in which designated employees are required to disclose financial interests at a level appropriate to their decision-making authority. These employees make or participate in the making of decisions that may have a foreseeable material effect on a financial interest. Adoption of a Conflict of Interest and Disclosure Code deters potential conflicts of interest, thereby averting misuse of public funds.

The recommended action has no effect on budget revenues and expenditures.

FACTS AND PROVISIONS/LEGAL REQUIREMENTS

The code review staff recommends that your Board approve the Conflict of Interest and Disclosure Codes for the agencies listed below, pursuant to Government Code Section 87300.

NEW AGENCY:

(Westside Cities Council of Governments)

- This is a Joint Powers Authority. The Agency has adopted by reference Regulation 18730 (2 California Code of Regulations, Sections 18730) as it's Conflict of Interest Code and they will be adopting the County's Model Disclosure Categories.
- The agency has designated the following positions as code filers: Governing Board Member; Alternate Governing Board Member; Executive Director; General Counsel; Treasurer; and Consultants.

SUBSTANTIVE CHANGES:

(Antelope Valley Air Quality Management District)

- Makes language modifications within the Incorporation Page.
- Makes language modifications within Exhibit “A” to the Disclosure Categories.
- Changes the title of one (1) position
- Deletes Deputy District Counsel; Supervising Air Quality Instrument Technician; and Systems Administrator from the code due to reorganization.

(Arts Commission)

- Modifies the Incorporation Page to reflect those positions that will file directly with the Board's Executive Office.
- Deletes Administrative Assistant III (Managing Director of Productions), and Project Director from the code due to reorganization.
- Changes the titles of five (5) positions.
- Adds Managing Director, John Anson Ford Theatres (aka Managing Director of Productions); and Administrative Services Manager II to the code.

(Department of Public Works)

- Modifies the Incorporation Page to reflect the position that will file directly with the Board's Executive Office.
- Adds one (1) new category to Exhibit “A” and renumbers an existing category.
- Modifies disclosure categories by omitting the definitions of investment and income.
- Revises the code of the department by adding, deleting, and changing the titles of numerous positions in the code due to organizational changes in the intervening years since the last code revision.

(Hacienda La Puente Unified School District)

- Makes minor language changes in the Incorporation Page.
- Deletes Assistant Superintendent Assessment & Evaluations, Student & Family Services; Director Instructional Support Teams – Multilingual Education, School Based Programs; Coordinator – Benefits; and Director Instructional Support Teams, Secondary Curriculum and Educational Technology & Media from the code due to reorganization.
- Changes the titles of nine (9) positions.
- Expands the disclosure of three (3) positions.
- Adds Executive Director, Leadership Development; Executive Director, Multilingual Programs/Assessment; Executive Director, Secondary Education/Assessment; Director of Fiscal Services; Director Human Resources; Director Student/Family Services; Director, Career and Technology; Director Correctional Education; Director Early Primary Programs; Director Special Education; Program Director Adult Academics; Program Director Innovative Rehabilitation Services; and Principals to the code.

(MERGE Risk Management Joint Powers Authority)

- Modifies the Incorporation Page to reflect those positions that will file directly with the Board's Executive Office.
- Adds one (1) new disclosure category to Exhibit "A" and rennumbers an existing category.
- Under Officials Who Manage Public Investments, the positions of Member, Board of Directors; and Alternate Member, Board of Directors will no longer file pursuant to Government Code §87200.
- Adds Member, Board of Directors; and Alternate Member, Board of Directors to the code.

(Metropolitan Transportation Authority)

- Entirely revises the code of the authority by adding, deleting, and changing the titles of numerous positions in the code due to organizational changes in the intervening years since the last code revision.

(Pomona Unified School District)

- Makes language modifications within the Incorporation Page.
- The District's Conflict of Interest Code will no longer possess the entirety of Regulation 18730 (2 Cal. Code of Regulation, §18730) since they have adopted it by reference.
- The District will be adopting the County's Model Disclosure Categories and eliminate their prior disclosure categories and the existing positions have been adjusted to reflect this change.

- Deletes Assistant Superintendent, Business Services (Facilities); Assistant to the Superintendent, Admin. Operations and Services; Public Relations/School Climate; Purchasing Assistant Director; School Police Program Manager; General Counsel; and Food Services Assistant Director from the code due to reorganization.
- Changes the titles of fourteen (14) positions within the code.
- Under Officials Who Manage Public Investments, the position of Assistant Superintendent, Chief Financial Officer – Business Services' title has been changed to Assistant Superintendent, Chief Financial Officer.
- Adds Administrative Director, Elementary Education; Administrative Director, Secondary Education; Principal-on-Assignment, Personnel; Program Administrator, Communications; Director, Personnel Services, Certificated Personnel; Director, Adult Education; Director, Constituent Services; Director, Elementary Education; Director, Health Services; Director, Professional Development; Director, Instructional Technology; Director, Risk Management; Director, Safe Schools/Healthy Students; and Director, Student Programs & Interventions to the code.

(West Basin Municipal Water District)

- Deletes Sr. Recycled Water Program Specialist from the code due to reorganization.
- Changes the titles of three (3) positions.
- Adds Operations Project Manager and Recycled Water Program Manager to the code.

IMPACT ON CURRENT SERVICES (OR PROJECTS)

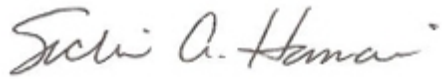
Approving the attached Conflict of Interest and Disclosure Codes for these agencies will further the purposes of the Political Reform Act of 1974 and will require the individuals designated in each agency's code to disclose appropriate economic interests in their Statements of Economic Interests.

The Honorable Board of Supervisors

August 04, 2009

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Respectfully submitted,

A handwritten signature in dark ink, reading "Sachi A. Hamai". The signature is written in a cursive, flowing style.

SACHI A. HAMAI

Executive Officer, Board of Supervisors

SAH:DG:kw

Enclosures

c: Chief Executive Officer
County Counsel

Conflict of Interest Code
Of the

WESTSIDE CITIES COUNCIL OF GOVERNMENTS (WSCCOG)

Incorporation of FPPC Regulation 18730 (2 California Code of Regulations, Section 18730) by Reference

The Political Reform Act (Government Code Section 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. 18730), which contains the terms of a standard conflict of interest code. After public notice and hearing, it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730, and any amendments to it duly adopted by the Fair Political Practices Commission, are hereby incorporated into the conflict of interest code of this agency by reference. This regulation and the attached Appendices (or Exhibits) designating officials and employees and establishing economic disclosure categories shall constitute the conflict of interest code of this agency.

Place of Filing of Statements of Economic Interests

All officials and employees required to submit a statement of economic interests shall file their statements with the Secretary of the Board, or his or her designee. The Secretary, or his or her designee, shall make and retain a copy of all statements filed by its Governing Board Members, Alternate Board Members, as appropriate, and its Executive Director and forward the originals of such statements to the Executive Office of the Board of Supervisors of Los Angeles County.

The agency shall retain the originals of statements for all other Designated Positions named in the agency's conflict of interest code. All retained statements, original or copied, shall be available for public inspection and reproduction (Gov. Code Section 81008).

Conflict of Interest Code
Of the

WESTSIDE CITIES COUNCIL OF GOVERNMENTS

EXHIBIT "A"

CATEGORY 1

Persons in this category shall disclose all interests in real property within the jurisdiction. Real property shall be deemed to be within the jurisdiction if the property or any part of it is located within or not more than two miles outside the boundaries of the jurisdiction or within two miles of any land owned or used by the agency.

Persons are not required to disclose property used primarily as their residence or for personal recreational purposes.

CATEGORY 2

Persons in this category shall disclose all investments and business positions.

CATEGORY 3

Persons in this category shall disclose all income and business positions.

CATEGORY 4

Persons in this category shall disclose all business positions, investments in, or income (including gifts and loans) received from business entities that manufacture, provide or sell services and/or supplies of a type utilized by the agency and associated with the job assignment of designated positions assigned this disclosure category.

CATEGORY 5

Individuals who perform under contract the duties of any designated position shall be required to file Statements of Economic Interests disclosing reportable interests in the categories assigned to that designated position.

In addition, individuals who, under contract, participate in decisions which affect financial interests by providing information, advice, recommendations or counsel to the agency which could affect financial interests shall be required to file Statements of Economic Interests, unless they fall within the Political Reform Act's exceptions to the definition of consultant. The level of disclosure shall be as determined by the executive officer (or head) of the agency.

Conflict of Interest Code
Of the

WESTSIDE CITIES COUNCIL OF GOVERNMENTS

EXHIBIT "B"

<u>Designated Positions</u>	<u>Disclosure Categories</u>
Governing Board Member	1, 2, 3
Alternate Governing Board Member	1, 2, 3
Executive Director	1, 2, 3
General Counsel	1, 2, 3
Treasurer	2, 3
Consultants	5

EFFECTIVE:

Conflict of Interest Code
of the

ANTELOPE VALLEY AIR QUALITY MANAGEMENT DISTRICT

Incorporation of FPPC Regulation 18730 (2 California Code of Regulations, Section 18730) by Reference

The Political Reform Act, Government Code §81000, et seq., requires state and local government agencies to adopt, and promulgate conflict of interest codes. The Fair Political Practices Commission (FPPC) has adopted a regulation, 2 Cal. Code of Regs. §18730, which contains the terms of a standard conflict of interest code, which can be incorporated by reference, and which may be amended by the FPPC to conform to amendments to the Political Reform Act after public notice and hearings. Therefore, the terms of 2 Cal. Code Regs., §18730 and any amendments to it duly adopted by the FPPC, along with the attached Appendix in which officials and employees are designated and disclosure categories are set forth, are hereby incorporated by reference and constitute the Conflict of Interest Code of the Antelope Valley Air Quality Management District (AVAQMD).

Place of Filing of Statements of Economic Interests

Designated employees shall file their statements of economic interests with the AVAQMD. Upon receipt of the statements of the AVAQMD Board Members, AVAQMD Hearing Board Members and Alternate Members, and the Air Pollution Control Officer (Executive Director), the AVAQMD shall make and retain copies and forward the originals to the Los Angeles County Board of Supervisors. Statements of all other designated employees shall be retained with the AVAQMD and made available for public inspection and reproduction upon request (Gov. Code §81008).

ANTELOPE VALLEY AIR QUALITY MANAGEMENT DISTRICT

EXHIBIT "A"

CATEGORY 1

Persons in this category shall disclose all interests in real property within the Antelope Valley Air Quality Management District's jurisdiction. Real Property shall be deemed to be within the Antelope Valley Air Quality Management District's jurisdiction if the property or any part of it is located within or no more than two miles outside the boundaries of the jurisdiction or within two mile of any land owned or used by the agency. Persons are not required to disclose property which is used primarily as their residence or for personal, recreational purposes.

CATEGORY 2

Persons in this category shall disclose all business positions with, investments in and all income (including gifts and loans) received from businesses that manufacture, sell or supply services, supplies, materials, machinery or equipment of the type used by the Antelope Valley Air Quality Management District.

CATEGORY 3

Persons in this category shall disclose all business positions with investments in and all income (including gifts and loans) received from:

- (A) Business entities that manufacture, provide or sell services and/or supplies of a type utilized by the AVAQMD and associated with the job assignment of the designated positions assigned this category; and
- (B) Business entities that are subject to inspection or enforcement action by the designated positions in their capacity as employees of the AVAQMD (Federal Clean Air Act and the California air pollution control laws).

Depending upon the specific job assignment of the designated position these business entities shall include but are not limited to:

1. Any engineering or other firm preparing plans and reports regarding environmental/air quality impact; and
2. Any testing firms or laboratories; and

ANTELOPE VALLEY AIR QUALITY MANAGEMENT DISTRICT

EXHIBIT "A" (Continued)

3. Any firm of engineers, biologists, environmental consultants/planners, public relations consultants, ecologists or similar specialties preparing reports for or providing services to the AVAQMD; and
4. Any firm of engineers, biologists, environmental consultants/planners, public relations consultants, ecologists or similar specialties submitting studies, reports or plans for review or approval of the AVAQMD.

CATEGORY 4

Individuals who perform under contract the duties of any designated position shall be required to file Statements of Economic Interests disclosing reportable interest in categories assigned to that designated position.

In addition, individuals who, under contract, participate in decisions which may affect financial interests by providing information, advice, recommendation or counsel to the agency shall be required to file Statements of Economic Interests, unless they fall within the Political Reform Act's exceptions to the definition of consultant. The level of disclosure shall be as determined by the APCO. The APCO's determination shall be made in writing and shall include a description of the consultant's duties and based upon that description, a statement of the extent of the disclosure requirements. The APCO's determination is public record and shall be retained for public inspection in the same manner and location as the Conflict of Interest Code and the Statements of Economic Interests.

ANTELOPE VALLEY AIR QUALITY MANAGEMENT DISTRICT

EXHIBIT "B"

<u>Designated Positions</u>	<u>Disclosure Category</u>
Members of AVAQMD Governing Board	1, 2, 3
Members and Alternate Members - AVAQMD Hearing Board	1, 2, 3
Air Pollution Control Officer (Executive Director)	1, 2, 3
Deputy Air Pollution Control Officer(s)	1, 2, 3
Director of Administrative Services	1, 2
District Counsel	1, 2, 3
Operations Manager	1, 2, 3
Administrative Services Manager	1, 2
Community Relations and Education Manager	1, 2
Supervising Air Quality Engineer	1, 2, 3
Supervising Air Quality Specialist	1, 2, 3
Consultant	4

EFFECTIVE:

Conflict of Interest Code
of the

ARTS COMMISSION, LOS ANGELES COUNTY

Incorporation of FPPC Regulation 18730
(2 California Code of Regulations, Section 18730) by Reference

The Political Reform Act (Government Code Section 81000, *et seq.*) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. 18730), which contains the terms of a standard conflict of interest code. After public notice and hearing, it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730, and any amendments to it duly adopted by the Fair Political Practices Commission, are hereby incorporated into the conflict of interest code of this agency by reference. This regulation and the attached Appendices (or Exhibits) designating officials and employees and establishing economic disclosure categories shall constitute the conflict of interest code of this agency.

Place of Filing of Statements of Economic Interests

All officials and employees required to submit a statement of economic interests shall file their statements with the agency head, or his or her designee. The agency shall make and retain a copy of all statements filed by its Commissioners and Executive Director and forward the originals of such statements to the Executive Office of the Board of Supervisors of Los Angeles County.

The agency shall retain the originals of statements for all other Designated Positions named in the agency's conflict of interest code. All retained statements, original or copied, shall be available for public inspection and reproduction (Gov. Code Section 81008).

ARTS COMMISSION, LOS ANGELES COUNTY

EXHIBIT "A"

CATEGORY 1

Persons in this category shall disclose all investments and business positions.

CATEGORY 2

Persons in this category shall disclose all income and business positions.

CATEGORY 3

Persons in this category shall disclose all business positions, investments in, or income (including gifts and loans) received from business entities that manufacture, provide or sell services and/or supplies of a type utilized by the Arts Commission and its staff and associated with the job assignments of designated positions assigned to this disclosure category.

CATEGORY 4

Persons in this category shall disclose all interests in the following areas:

- A. Business positions, investments in, and income (including gifts and loans) received from for-profit businesses, nonprofit entities and persons that provide arts services utilized by the Arts Commission or that are eligible to receive grants from the Arts Commission;
- B. Any trusteeship or directorship of entities that provide arts services utilized by the Arts Commission or that are eligible to receive grant funds from the Arts Commission.

CATEGORY 5

Individuals who perform under contract the duties of any designated position shall be required to file Statements of Economic Interests disclosing reportable interests in the categories assigned to that designated position.

In addition, individuals who, under contract, participate in decisions which affect financial interests by providing information, advice, recommendations or counsel to the Arts Commission which could affect financial interests shall be required to file Statements of Economic Interests, unless they fall within the Political Reform Act's exceptions to the definition of consultant. The level of disclosure shall be as determined by the Executive Director of the Arts Commission.

ARTS COMMISSION, LOS ANGELES COUNTY

EXHIBIT "B"

Designated Positions

Disclosure Categories

Commissioners	4
Executive Director	1, 2
Managing Director, John Anson Ford Theatres (aka Managing Director of Productions)	3, 4
Chief I, Arts Commission Programs (Director of Grant Programs)	4
Administrative Assistant III (Policy and Planning Manager)	3
Chief II, Arts Commission Programs (Director of Arts Education)	3
Head, Marketing and Communications (Director, Communications)	3
Chief I, Arts Commission Programs (Director of Civic Art)	4
Senior Information System Analyst	3
Administrative Services Manager II	3
Consultants	5

EFFECTIVE:

Conflict of Interest Code
of the

PUBLIC WORKS, DEPARTMENT OF

Incorporation of FPPC Regulation 18730 (2 California Code of Regulations, Section 18730) by Reference

The Political Reform Act (Government Code Section 81000, *et seq.*) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. 18730), which contains the terms of a standard conflict of interest code. After public notice and hearing, it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730, and any amendments to it duly adopted by the Fair Political Practices Commission, are hereby incorporated into the conflict of interest code of this agency by reference. This regulation and the attached Appendices (or Exhibits) designating officials and employees and establishing economic disclosure categories shall constitute the conflict of interest code of this agency.

Place of Filing of Statements of Economic Interests

All officials and employees required to submit a statement of economic interests shall file their statements with the agency head, or his or her designee. The agency shall make and retain a copy of all statements filed by its Director and forward the original of such statement to the Executive Office of the Board of Supervisors of Los Angeles County.

The agency shall retain the originals of statements for all other Designated Positions named in the agency's conflict of interest code. All retained statements, original or copied, shall be available for public inspection and reproduction (Gov. Code Section 81008).

(6/02) (Rev.)

PUBLIC WORKS, DEPARTMENT OF

EXHIBIT "A"

CATEGORY 1

Persons in this category shall disclose all interest in real property within the jurisdiction. Real property shall be deemed to be within the jurisdiction if the property or any part of it is located within or not more than two miles outside the boundaries of the jurisdiction or within two miles of any land owned or used by the agency.

Persons are not required to disclose property used primarily as their residence or for personal recreational purposes.

CATEGORY 2

Persons in this category shall disclose all investments and business positions.

CATEGORY 3

Persons in this category shall disclose all income and business positions.

CATEGORY 4

Persons in this category shall disclose all business positions, investments in, or income (including gifts and loans) received from business entities that manufacture, provide or sell services and/or supplies of a type utilized by the agency and associated with the job assignment of designated positions assigned this disclosure category.

CATEGORY 5

Persons in this category shall disclose all business positions, investments in, or income (including gifts and loans) received from:

- (A) Business entities that manufacture, provide or sell services and/or supplies of a type utilized by the agency and associated with the job assignment of designated positions assigned this category; and
- (B) Business entities that are subject to inspection or enforcement action by the designated positions in their capacity as employees of the Department of Public Works under provisions of the Los Angeles County Building, Mechanical, Plumbing, Electrical, or Zoning Code Enforcement, or subject to the Clean Water Act, California Environmental Quality Act, California Integrated Waste Management Act, National Environmental Pollution Act, California Health and Safety Code, Titles 11, 12 & 20 of the Los Angeles County Code, or subject to plan checks or approvals for grading, drainage, geological studies, industrial

PUBLIC WORKS, DEPARTMENT OF

EXHIBIT "A" (CONTINUED)

waste, underground tank storage of hazardous substances, land use permit compliance, refuse collection & waste diversion services, right-of-way acquisition or appraisal by the County of Los Angeles or any special districts therein.

Depending upon the specific job assignment of the designated position, these business entities shall include but are not limited to:

- (1) Businesses involved in land speculation or development for purposes of subdivision, grading, residential or commercial/industrial development, land filling, mineral exploitation or mining;
- (2) Any engineering, architectural or other firm preparing plans and reports for construction;
- (3) Any firm of consulting engineers, geologists or similar specialties submitting reports for approval by the Director of Public Works;
- (4) Any testing firms or laboratories;
- (5) Any supplier of building systems, materials and services;
- (6) Businesses entities involved in the collection, processing, transportation, and/or disposal of solid and/or hazardous waste;
- (7) Businesses entities involved in the construction, installation, modification, or removal of underground hazardous materials storage tanks or industrial waste control or disposal facilities;
- (8) Any firm of engineers, biologists, environmental consultants/planners, public relations consultants, landscape architects, land use planners, ecologist or similar specialties submitting studies, reports or plans for approval or providing services to the Department of Public Works.

CATEGORY 6

Persons in this category shall disclose all income from any Department of Public Works employee, any representative or association of such employee, and business positions or income from any entity owned or controlled by such employee or his/her spouse or other financial dependent.

PUBLIC WORKS, DEPARTMENT OF
EXHIBIT "A" (CONTINUED)

CATEGORY 7

Individuals who perform under contract the duties of any designated position shall be required to file Statements of Economic Interests disclosing reportable interest in the categories assigned to that designated position.

In addition, individuals who, under contract, participate in decisions which affect financial interests by providing information, advice, recommendation or counsel to the agency which could affect financial interest shall be required to file Statements of Economic Interests, unless they fall within the Political Reform Act's exceptions to the definition of consultant. The level of disclosure shall be as determined by the executive officer (or head) of the agency.

PUBLIC WORKS, DEPARTMENT OF

Exhibit "B"

Administration

<u>Title</u>	<u>Category(ies)</u>
Director of Public Works	1, 2, 3
Assistant Director, Public Works	1, 2, 3
Deputy Director, Public Works	1, 2, 3
Chief Information Officer, Public Works	2, 3
Governmental Relations Representative, Public Works	1, 2, 3
Consultant	7

Administrative Services Division

<u>Title</u>	<u>Category(ies)</u>
Chief, Administrative Services, Public Works	2, 3
Head, Management Services, Public Works	2, 3
Supervising Administrative Assistant II and III	2, 3
Administrative Assistant I, II, III (Contracting Services Section)	2, 3
Administrative Assistant I, II, III (Contract Services)	4
Records Manager, Public Works	4
Management Specialist I (Contract and Living Wage Monitoring Section)	4
Administrative Assistant I, II, III, ASMI (All Contracting Sections)	4
Materials Manager, Public Works	2, 3
Supervisor, Materials and Supply	4
Warehouse Worker III, IV	4
Procurement Aid	4
Procurement Assistant I, II	4

Fleet Management Group

<u>Title</u>	<u>Category(ies)</u>
Fleet Administrator	4
Head, Fleet Management, Public Works	4
Fleet Manager, Public Works	4
Assistant Fleet Manager, Public Works	4
Transit Program Specialist	4
Chief, Fleet Management, PW	4
Road Shop Superintendent	4
Shop Superintendent	4
Power Equipment Mechanic Supervisor	4
Power Equipment Mechanic, Working Supervisor	4
Body & Fender Mechanic, Working Supervisor	4
Head, Fleet Services, PW	4
Power Equipment Specification Writer	4
Automotive Equipment Coordinator	4
Automotive Equipment Inspector	4

Architectural Engineering Division

<u>Title</u>	<u>Category(ies)</u>
Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	4, 5
Senior Civil Engineer (Contracts Section)	2, 3
Senior Civil Engineer (Design and Review Section)	4, 5
Supervising Architect II	5
Electrical Engineer	5
Contract Administrator, Senior Contract Administrator	2, 3
Administrative Assistant I, II, or III	2, 3
Senior Electrical Engineer	4, 5
Supervising Landscape Architect II	4, 5
Senior Mechanical Engineer	4, 5
Structural Engineer	4, 5
Head Building Inspector	4, 5
Building Inspector IV	5
Building Inspector III	5
Building Inspector II	5
Building Inspector I	5

Aviation Division

<u>Title</u>	<u>Category(ies)</u>
Chief, Aviation Division, Public Works	1, 2, 3
Civil Engineer	1, 2, 3
Airport Project Coordinator	2, 3

Building and Safety Division

<u>Title</u>	<u>Category(ies)</u>
Superintendent of Building, Public Works	1, 2, 3
Assistant Superintendent of Building	1, 2, 3
Principal Engineer	1, 5
Principal Engineer	1, 5
Senior Electrical Engineer	1, 5
Senior Mechanical Engineer	1, 5
Senior Structural Engineer	1, 5
Senior Civil Engineer	1, 5
Senior Civil Engineer	1, 5
Senior Civil Engineer	1, 2, 3
District Building & Safety Engineering Associate	1, 2, 3
Building Rehabilitation Supervisor	1, 2, 3
Supervising Building & Safety Engineering Specialist	1, 2, 3
Principal Engineer	1, 5
Civil Engineering Assistant	1, 5
Senior Civil Engineering Assistant	1, 5
Principal Civil Engineering Assistant	1, 5
Civil Engineer	1, 5
Electrical Engineer	1, 5
Associate Electrical Engineer	1, 5
Principal Electrical Engineering Assistant	1, 5
Senior Electrical Engineering Assistant	1, 5
Mechanical Engineer	1, 5
Associate Mechanical Engineer	1, 5
Principal Mechanical Engineering Assistant	1, 5
Senior Mechanical Engineering Assistant	1, 5
Mechanical Engineering Assistant	1, 5
Associate Civil Engineer	1, 5
Senior Building Engineering Inspector	1, 5
Building Engineering Inspector	1, 5
Building Permit Technician I and II	1, 5

Chief Information Office

<u>Title</u>	<u>Category(ies)</u>
Information Systems Specialist II	4
Departmental Chief Information Officer II	4
IT Contracts Liason	4
Information Systems Supervisor II	4
Information Tech Specialist I and II	4

Information Technology Division

<u>Title</u>	<u>Category(ies)</u>
Chief, Administrative Operations, PW (was Chief, Information Systems, Public Works)	4
Assistant Chief, Information Technology Division, Public Works	4
Senior Civil Engineer	4
Senior Telecommunications Systems Engineer	4
Applications Developer II	4
Electronic Communications Technician	4
Electronic Communications Technician Supervisor	4
Information Systems Analyst II	4
Information Systems Coordinator	4
Information Systems Specialist I	4
Information Systems Supervisor II	4
Principal CE Tech	4
Principal Programmer Analyst	4
Senior Applications Developer	4
Systems Development Specialist	4

Business Support Group (under CIO)

<u>Title</u>	<u>Category(ies)</u>
Head, Business Support Group	4

Construction Division

Title**Category(ies)**

Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer (was Assistant Chief, Contract Construction)	1, 2, 3
Senior Civil Engineer	1, 5
Civil Engineer	5
Associate Civil Engineer	5
Supervisor, Contract Construction	4, 5
Head Construction Inspector	4, 5
Senior Construction Inspector	4, 5
Construction Inspector	4, 5

Design Division

Title**Category(ies)**

Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Senior Civil Engineer	4, 5
Senior Mechanical Engineer	4, 5
Civil Engineer	4, 5
Associate Civil Engineer	4, 5
Associate Mechanical Engineer	4, 5

Disaster Services Group

Title**Category(ies)**

Disaster Services Coordinator	4
Disaster Services Analyst	4

Environmental Programs Division

Title **Category(ies)**

Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Civil Engineer	1, 5
Civil Engineer	1, 5
Chief, Industrial Waste Planning and Control	1, 5
Supervising Waste Control Engineer I & II	1, 5
Senior Civil Engineer	1, 5
Associate Civil Engineer	1, 5
Associate Civil Engineer	1, 5
Principal Civil Engineering Assistant	1, 5
Civil Engineering Assistant	1, 5
Senior Waste Control Engineering Inspector (Industrial Waste/Underground Tank Section)	5

Financial Management Sub-Branch

Title **Category(ies)**

Assistant Deputy Director, Finance, Public Works	2, 3
Chief, Fiscal Division, Public Works	4
Assistant Chief, Fiscal Division	4
Chief, Budget/Fund Management	4

Flood Maintenance Division

Title **Category(ies)**

Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer / Area Engineer	1, 2, 3
Senior Civil Engineer	4, 5
Flood Control Construction Superintendent	4, 5
Flood Control Construction Supervisor	4, 5
Associate Civil Engineer (Field Offices)	4, 5
Associate Civil Engineer (HQ - Office)	4, 5

Geotechnical and Materials Engineering Division

Title

Category(ies)

Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 5
Supervising Engineering Geologist IV	1, 5
Senior Civil Engineer	1, 5
Supervising Engineering Geologist III	1, 5
Civil Engineer	1, 5
Supervising Engineering Geologist II	1, 5
Engineering Geologist (I, II, III)	1, 5
Associate Civil Engineer	1, 5
Supervising Civil Engineering Assistant	1, 5
Principal Engineering Geologist Assistant	1, 5
Senior Engineering Geologist Assistant	1, 5
Civil Engineering Assistant	1, 5
Engineering Geologist Assistant	1, 5
Supervisor, Contract Construction	1, 5
Senior Construction Inspector	1, 5
Supervising Engineering Testing Technician II	1, 5
Supervising Engineering Testing Technician I	1, 5
Senior Civil Engineering Assistant	1, 5

Human Resources Division

Title

Category(ies)

Chief, Administrative Operations, Public Works (was Chief, Personnel and Public Affairs, Public Works)	4, 6
Head, Departmental Personnel Specialist (Risk Management)	4, 6
Safety Officer	4, 6
Training Officer	4
Chief, Personnel Management	4, 6
Head, Department Civil Service Representative (Head Advocate)	4, 6
Department Civil Service Representative (Advocate)	4, 6

Internal Audit Group

Head Compliance Officer, Public Works	6
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Land Development Division

Title

Category(ies)

Assistant Deputy Director	1, 2, 3
Principal Engineer	1, 5
Senior Civil Engineer (All Sections)	1, 5
Civil Engineering Assistant	1, 5
Senior Civil Engineering Assistant	1, 5
Principal Civil Engineering Assistant	1, 5
Civil Engineer	1, 5
Associate Civil Engineer	1, 5
Building Permit Technician I	1, 5
Supervising Cadastral Engineer II	1, 5
Supervising Cadastral Engineer I	1, 5
Principal Survey Mapping Technician	1, 5
Senior Survey Mapping Technician	1, 5
Senior Civil Engineering Technician	1, 5
Supervising Engineering Assistant	1, 5

Mapping and Property Management Division

Title

Category(ies)

Assistant Deputy Director	1, 2, 3
Assistant Chief, Mapping and Property Management, Public Works	1, 2, 3
Principal Real Property Agent	1, 2, 3
Senior Real Property Agent	1
Real Property Agent II	1
Real Property Agent I	1
Senior Civil Engineer	1, 4
Supervising Cadastral Engineer III	1, 4
Supervising Valuation Engineer II	1, 4
Supervising Valuation Engineer I (was Supervising Valuation Engineer)	1, 4
Valuation Engineer II	1, 4
Head, Real Estate, Public Works	1
Supervising Title Examiner III	1, 4
Civil Engineer	1, 4
Supervising Cadastral Engineer II	1, 4

Operational Services Division

<u>Title</u>	<u>Category(ies)</u>
Assistant Deputy Director	1, 2, 3
Assistant Chief, Operational Services, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Senior Civil Engineer	4, 5
Road Maintenance Superintendent	4, 5
Chief Electrician Supervisor	4, 5
Electrician Supervisor	4, 5
Powerline Working Supervisor	4, 5
Head, Maintenance and Repair Services	4, 5
Refrigeration Mechanic Working Supervisor	4, 5
Welder Fitter Supervisor	4, 5
Electrician Working Supervisor	4, 5
Carpenter Working Supervisor	4, 5
Road Maintenance Supervisor	4, 5
Painter Supervisor	4, 5
Senior Plumber	4, 5
Electrician Supervisor	4, 5
Electro-Mechanic Working Supervisor	4, 5
Electric Engineer	4, 5
Associate Civil Engineer	4, 5
Civil Engineer	4, 5
Management Specialist I or II (Graffiti Abatement)	1, 5
Public Works Maintenance Worker, Graffiti Abatement (Graffiti Abatement Section)	5
Traffic Painter & Sign Poster, Graffiti Abatement (Graffiti Abatement Section)	5
Facility Manager, Public Works	4
Head, Building Maintenance, Public Works	4
Assistant Head, Maintenance and Repair Services, Road	4
Chief, Administrative Operations, PW	4
Administrative Assistant III	4
Assistant Fleet Manager, PW	4, 5

Programs Development Division

Title

Category(ies)

Assistant Deputy Director	1, 2, 3
Principal Engineer	1, 2, 3
Senior Civil Engineer	1, 2, 3
Administrative Services Manager I	1, 2, 3

Project Management Division I

Title

Category(ies)

Assistant Deputy Director, Public Works	1, 2, 3
Principal Facilities Project Manager	2, 3
Senior Capital Projects Manager, Public Works	2, 3
Capital Projects Manager, Public Works	2, 3
Capital Projects Management Associate, Public Works	2, 3
Facilities Project Manager II	2, 3
Senior Civil Engineer	2, 3
Associate Civil Engineer	2, 3
Senior Civil Engineering Assistant	2, 3
Civil Engineering Assistant	2, 3
Administrative Assistant II, III	2, 3
Civil Engineer	2, 3
Consultant Project Managers	2, 3
Principal Civil Engineer Assistant	2, 3
Capital Projects Management Assistant, Public Works	2, 3
Principal Engineer	2, 3

Project Management Division II

Title

Category(ies)

Assistant Deputy Director, Public Works	1, 2, 3
Capital Projects Program Manager, Public Works	2, 3
Principal Facilities Project Manager	2, 3
Senior Capital Projects Manager, Public Works	2, 3
Capital Projects Manager, Public Works	2, 3
Capital Projects Management Associate, Public Works	2, 3
Senior Civil Engineer	2, 3
Associate Civil Engineer	2, 3
Facilities Project Management Associate	2, 3
Architectural Associate	2, 3
Senior Civil Engineering Assistant	2, 3
Civil Engineering Assistant	2, 3
Management Specialist I	2, 3
Management Specialist II	2, 3
Engineering Administrator XIII, ISD	2, 3
Management Specialist I	2, 3
Capital Projects Management Assistant, Public Works	2, 3
Principal Civil Engineering Assistant	2, 3
Senior Civil Engineer Assistant	2, 3
Civil Engineer	2, 3

Public Relations Group

Title

Category(ies)

Management Specialist I, II	4
Program Manager I, II	5
Senior Civil Engineering Assistant	5
Administrative Assistant I, II, III	5

Road Maintenance Division

<u>Title</u>	<u>Category(ies)</u>
Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Senior Civil Engineer	4, 5
Associate Civil Engineer	4, 5
Assistant District Engineer	4, 5
Road Maintenance Superintendent	4, 5
Road Maintenance Supervisor	4, 5
District Engineer	1, 2, 3
Civil Engineer	4, 5

Sewer Maintenance Division

<u>Title</u>	<u>Category(ies)</u>
Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Senior Civil Engineer	1, 2, 3
Civil Engineer	1, 2, 3
Electro-Mechanic Supervisor	4
Electro-Mechanic Working Supervisor	4
Electro-Mechanic	4
Regional Sewer Maintenance Superintendent	4
Sewer Maintenance Supervisor	4

Survey Division

<u>Title</u>	<u>Category(ies)</u>
Assistant Deputy Director, Public Works	1, 2, 3
Supervising Field Engineer	1, 4
Survey Supervisor I (Office Operations, Unit 8212, Records Survey)	1, 4
Survey Technician I (Administration, Unit 8201, Equipment Shop)	1, 4
Supervising Cadastral Engineer I	1, 4

Traffic and Lighting Division

<u>Title</u>	<u>Category(ies)</u>
Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 5
Senior Civil Engineer (Street Lighting Section)	1, 5
Senior Civil Engineer (Traffic Studies Section)	1, 5
Senior Civil Engineer (Traffic Systems Section)	1, 5
Senior Civil Engineer (Traffic Design Section)	1, 5
Senior Civil Engineer (TRAFFIC Section) (Traffic Reduction and Free Flow Interagency Committee)	1, 5
Senior Civil Engineer, Traffic Investigations Section	1, 5
Associate Civil Engineer, Traffic Design Section	1, 5
Associate Civil Engineer, TRAFFIC Section	1, 5

Water Resources Division

<u>Title</u>	<u>Category(ies)</u>
Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Senior Civil Engineer	1, 4, 5
Civil Engineer	1, 4, 5

Watershed Management Division

<u>Title</u>	<u>Category(ies)</u>
Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Senior Civil Engineer	1, 2, 3
Civil Engineer	1, 5
Civil Engineer	1, 5
Senior Civil Engineer	1, 5
Civil Engineer	1, 5
Civil Engineer	1, 5

Waterworks Division

Title

Category(ies)

Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Senior Civil Engineer	1, 2, 3
Civil Engineer	1, 2, 3
Regional Water Service Superintendent	4
Water Service Supervisor	4
Senior Water Service Worker	4
Electro-Mechanic Supervisor	4
Electro-Mechanic Working Supervisor	4
Electro-Mechanic	4

EFFECTIVE:

Conflict of Interest Code
of the

HACIENDA LA PUENTE UNIFIED SCHOOL DISTRICT

Incorporation of FPPC Regulation 18730 (2 California Code of Regulations, Section 18730) by Reference

The Political Reform Act (Government Code Section 81000, *et seq.*) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. 18730), which contains the terms of a standard conflict of interest code. After public notice and hearing, it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730, and any amendments to it duly adopted by the Fair Political Practices Commission, are hereby incorporated into the conflict of interest code of this agency by reference. This regulation and the attached Appendices (or Exhibits) designating officials and employees and establishing economic disclosure categories shall constitute the conflict of interest code of this agency.

Place of Filing of Statements of Economic Interests

All officials and employees required to submit a statement of economic interests shall file their statements with the Superintendent of the District; or his or her designee. The District shall make and retain a copy of all statements filed by its Governing Board Members, and its Superintendent and forward the originals of such statement to the Executive Office of the Board of Supervisors of Los Angeles County.

The District shall retain the originals of statements for all other Designated Positions named in the agency's conflict of interest code. All retained statements, original or copied, shall be available for public inspection and reproduction (Gov. Code Section 81008).

HACIENDA LA PUENTE UNIFIED SCHOOL DISTRICT

EXHIBIT "A"

CATEGORY 1

Persons in this category shall disclose all interests in real property within the jurisdiction of the District. Real property shall be deemed to be within the jurisdiction if the property or any part of it is located within two miles of the geographical area in which the District has jurisdiction or within two miles of any land owned or used by the District.

Persons are not required to disclose property used primarily as their residence or for personal recreational purposes.

CATEGORY 2

Persons in this category shall disclose all investments and business positions.

CATEGORY 3

Persons in this category shall disclose all income and business positions.

CATEGORY 4

Persons in this category shall disclose all business positions, investments in, or income (including gifts and loans) received from business entities that manufacture, provide or sell services and/or supplies of a type utilized by the agency and associated with the job assignment of designated positions assigned this disclosure category.

CATEGORY 5

Persons in this category shall disclose all income from, investment in and business positions with any district employee/student, any agent employee association representing any such employee/student, and business positions or income from any entity owned or controlled by such employee or any employee's spouse or other financial dependent.

CATEGORY 6

Individuals who perform under contract the duties of any designated position shall be required to file Statements of Economic Interests disclosing reportable interests in the categories assigned to that designated position.

In addition, individuals who, under contract, participate in decisions which affect financial interests by providing information, advice, recommendations or counsel to the agency which could affect financial interests shall be required to file Statements of Economic Interests, unless they fall within the Political Reform Act's exceptions to the definition of consultant. The level of disclosure shall be as determined by the executive officer (or head) of the agency.

HACIENDA LA PUENTE UNIFIED SCHOOL DISTRICT

EXHIBIT "B"

<u>Designated Positions</u>	<u>Disclosure Categories</u>
Governing Board Member	1, 2, 3
Superintendent	1, 2, 3
Associate Superintendent, Adult & Continuing Education	1, 2, 3
Associate Superintendent Business Services	1, 2, 3
Associate Superintendent, Secondary Schools 6-12	4, 5
Associate Superintendent, Human Resources	2, 3
Chief Technology Officer	4
Chief of Police & Safety	4
Executive Director, Leadership Development	4, 5
Executive Director, Multilingual Programs/Assessment	4
Executive Director, Secondary Education/Assessment	4, 5
Director, Instructional Support Teams, K-5 Instructions	4
Director of Transportation	4
Director of Purchasing & Warehouse	4
Director of Food Services	4
Director of Facilities	1, 4

HACIENDA LA PUENTE UNIFIED SCHOOL DISTRICT

EXHIBIT "B" (Continued)

<u>Designated Positions</u>	<u>Disclosure Categories</u>
Director of Fiscal Services	1, 4
Director, Human Resources	4, 5
Director, Student/Family Services	4
Director, Career and Technology	4
Director, Correctional Education	4
Director, Early Primary Programs	4
Director, Special Education	4, 5
Program Director Adult Academics	4
Program Director Innovative Rehabilitation Services	4
Principals	4
Coordinator Risk Management Prevention	2, 3
Director Personnel Commission	5
Personnel Commissioners	5
Consultant	6

EFFECTIVE:

Conflict of Interest Code
of the

MERGE RISK MANAGEMENT JOINT POWERS AUTHORITY

Incorporation of FPPC Regulation 18730 (2 California Code of Regulations, Section 18730) by Reference

The Political Reform Act (Government Code Section 81000, *et seq.*) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. 18730), which contains the terms of a standard conflict of interest code. After public notice and hearing, it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730, and any amendments to it duly adopted by the Fair Political Practices Commission, are hereby incorporated into the conflict of interest code of this agency by reference. This regulation and the attached Appendices (or Exhibits) designating officials and employees and establishing economic disclosure categories shall constitute the conflict of interest code of this agency.

Place of Filing of Statements of Economic Interests

All officials and employees required to submit a statement of economic interests shall file their statements with the agency head, or his or her designee. The agency shall make and retain a copy of all statements filed by its Board Members, and Alternate Board Members and forward the originals of such statements to the Executive Office of the Board of Supervisors of Los Angeles County.

The agency shall retain the originals of statements for all other Designated Positions named in the agency's conflict of interest code. All retained statements, original or copied, shall be available for public inspection and reproduction (Gov. Code Section 81008).

MERGE RISK MANAGEMENT JOINT POWERS AUTHORITY

EXHIBIT "A"

CATEGORY 1

Persons in this category shall disclose all interest in real property within the jurisdiction. Real property shall be deemed to be within the jurisdiction if the property or any part of it is located within or not more than two miles outside the boundaries of the jurisdiction or within two miles of any land owned or used by the agency.

Persons are not required to disclose property used primarily as their residence or for personal recreational purposes.

CATEGORY 2

Persons in this category shall disclose all investments and business positions.

CATEGORY 3

Persons in this category shall disclose all income and business positions.

CATEGORY 4

Persons in this category shall disclose all business positions, investments in, or income (including gifts and loans) received from business entities that manufacture, provide or sell service and/or supplies of a type utilized by the agency and associated with the job assignment of designated positions assigned to this disclosure category.

CATEGORY 5

Persons in this category shall disclose all investments in, income (including gifts, loans, and travel payments) from and business positions with any member school district employee, or former employee, any agent or employee association representing any such employee, who has filed a Workers' Compensation Disability Claim against any member district, and business positions with, investments in or income from any entity owned or controlled by any such employee's spouse or other financial dependent.

MERGE RISK MANAGEMENT JOINT POWERS AUTHORITY

EXHIBIT "A" (CONT'D)

CATEGORY 6

Individuals who perform under contract the duties of any designated position shall be required to file Statements of Economic Interests disclosing reportable interest in the categories assigned to that designated position.

In addition, individuals who, under contract, participate in decisions which affect financial interests by providing information, advice, recommendation or counsel to the agency which could affect financial interest shall be required to file Statements of Economic Interests, unless they fall within the Political Reform Act's exceptions to the definition of consultant. The level of disclosure shall be as determined by the executive officer (or head) of the agency.

MERGE RISK MANAGEMENT JOINT POWERS AUTHORITY

EXHIBIT "B"

Designated Positions

Disclosure Categories

Member, Board of Directors

1, 4, 5

Alternate Member, Board of Directors

1, 4, 5

Consultant

6

EFFECTIVE:

Conflict of Interest Code
of the

**METROPOLITAN TRANSPORTATION AUTHORITY (MTA)/ PUBLIC
TRANSPORTATION SERVICES CORPORATION**

Incorporation of FPPC Regulation 18730 (2 California Code of Regulations,
Section 18730) by Reference

The Political Reform Act requires each state and local government agency to adopt a conflict of interest code. The provisions of Title 2, California Administrative Code, Section 18730, subdivision (b), adopted by the FPPC as a model conflict of interest code, as that regulation reads on the date of adoption of this chapter and as it may be amended from time to time by the FPPC, are hereby adopted and incorporated herein by this reference as the Conflict of Interest Code for the MTA and PTSC. Designated positions of MTA officers subject to disclosure requirements of this chapter shall be set forth in an Appendix A to the Conflict of Interest Code. Said Appendix A, as amended from time to time by the Ethics Officer as provided in this chapter, is incorporated into the Conflict of Interest Code by this reference.

Place of Filing of Statements of Economic Interests

Each MTA officer holding a position designated in the Appendix A to the Conflict of Interest Code shall file with the Ethics Officer statements disclosing the information required by the disclosure categories set forth in this chapter on such forms as may be specified by the FPPC. Copies of the forms to be used shall be supplied by the Ethics Officer. Every MTA officer holding a position designated in Appendix A to the Conflict of Interest Code shall retain his or her filing obligations, notwithstanding any reclassification or title change that may occur in the future as to the same of substantially similar job duties.

THE LOS ANGELES COUNTY METROPOLITAN TRANSPORTATION
AUTHORITY/PUBLIC TRANSPORTATION SERVICES CORPORATION-
CONFLICT OF INTEREST-STATUTORY FILERS*

Board of Directors (PTSC & Metro Board Members and Ex-Officio Members)

United Transportation Union Retirement Income Plan (UTU) Board Members

Maintenance Employees Transportation Union Retirement Income Plan (ATU) Board
Members

Transportation Communication Union Retirement Income Plan (TCU) Board Members

American Federation of State, County & Municipal Employees (AFSCME) Pension
Trustees

Non-Contract Employees Retirement Income Plan Board Members

*Full disclosure for statutory filers pursuant to Code Sections 87200-87202.

The Investment Board(s), acting under an agreement of trust with the MTA and following specific investment guidelines, is responsible for the investment and reinvestment of the Trust Fund(s) and has control of the monies of the Plan(s), sells investments, reinvests proceeds and may contract with insurance companies, corporate trustees, investment counsels or other professional advisors to handle investments of monies for the Plan(s) of the agency. The Trustees of the MTA Investment Board(s) are appointed by and hold office at the pleasure of the MTA Board of Directors or its designated representative and the Union Trustees are appointed by and hold office at the pleasure of their respective Unions.

**METROPOLITAN TRANSPORTATION AUTHORITY (MTA)/PUBLIC
TRANSPORTATION SERVICES CORPORATION**

EXHIBIT "A"

CATEGORY 1

Persons holding designated positions in this category shall disclose all interest in real property within the jurisdiction of Los Angeles County. Real property shall be deemed to be within the jurisdiction if the property or any part of it is located within or not more than two miles outside the boundaries of the jurisdiction or within two miles of any land owned or used by the MTA.

Persons are not required to disclose property used primarily as their residence or for personal recreational purposes.

CATEGORY 2

Persons holding designated positions in this category shall disclose all income, investments and business positions.

CATEGORY 3

Persons holding designated positions in this category shall disclose all income (including gifts and loans) which they or their spouse received from, or investments or business positions which they or their spouse have with, any labor union, labor union representative, employee association, employee association representative, MTA (including PTSC) employee, or entity that is owned or controlled by any such persons or entities.

CATEGORY 4

Persons holding designated positions in this category shall disclose all business positions and investments in, or income received from business entities that manufacture, provide or sell service and/or supplies of a type utilized by the agency and associated with the job assignment of designated positions assigned to this disclosure category.

CATEGORY 5

Individuals who perform under contract the duties of any position designated in Appendix A of the Conflict of Interest Code shall be subject to the provisions of the Conflict of Interest Code and shall disclose reportable interests in categories assigned to that designated position. In addition, individuals who, under contract, participate in decisions, by providing information, advice, recommendations, or counsel to the

**METROPOLITAN TRANSPORTATION AUTHORITY (MTA)/PUBLIC
TRANSPORTATION SERVICES CORPORATION**

EXHIBIT "A" (Continued)

CATEGORY 5 (Continued)

agency, which could affect their financial interests, shall be subject to the provisions contained in this Chapter (unless they are not "consultants" as defined by the Political Reform Act). Such consultants shall disclose pursuant to the broadest disclosure category in the Conflict of Interest Code, subject to the following limitation: The Chief Executive Officer, a Deputy Executive Officer, or the Ethics Officer, may determine that a particular consultant, although in a "designated position", is retained to perform a range of duties that is limited in scope and thus is not required to comply fully with the disclosure requirements described in this chapter. Any such determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of the disclosure requirements. The determination of the Chief Executive Officer, Deputy Executive Officer or Ethics Officer, is a public record and shall be retained for public inspection by the Ethics Officer.

**Metropolitan Transportation Authority /
Public Transportation Services Corporation**

APPENDIX "A"

JOB TITLE	JOB_CLASS	CATEGORY	
ACCOUNT EXECUTIVE	5027	1 & 2	
ACCOUNTING MGR	1005	4	
ACCOUNTING SUPERVISOR	1039	4	
ACCOUNTS SUPERVISOR	5028	2	
ADA COMPLIANCE ADMINISTRATOR	1230	4	
ADA PARATRANSIT PROGRAM ADMINISTRATOR	1231	4	
ADMIN AND FINANCIAL SERVICES MGR	1208	1 & 2	
ARCHITECT	1402	1 & 2	
ASSISTANT AUDITOR	5606	4	
ASSOCIATE COUNTY COUNSEL	9990	1 & 2	
ASSISTANT COUNTY COUNSEL	9994	1 & 2	
ASSISTANT MANAGER OF WAYSIDE SYSTEMS	7210	2	
ASSISTANT MGR EQUIPMENT MAINTENANCE	4014	4	
ASSISTANT MGR MAINTENANCE	9633	4	
ASST BOARD SECRETARY	4215	2	
ASST COMMUNITY RELS MGR	5035	4	
ASST CONTRACT ADMINISTR	2405	1 & 2	
ASST DIR OF FACILS MAINT	4602	1 & 2	
ASST DIR OF INFO SYSTEMS	5402	2	
ASSISTANT ETHICS OFFICER	4238	1 & 2	
ASST MGR CUSTODIAL SERVICES	4807	4	
ASST MGR RAIL DIV TRANSP	7204	2	
ASST MGR RAIL FLEET SVC	7211	2	
ASST MGR TRANSPORTATION	9605	2	
ASST PROJECT MGR, FACILITIES OPS	4620	1 & 4	
ASST PUBLIC COMM OFFICER	5036	2	
ASST TREASURER	1011	1 & 2	
AUDIT MGR	5603	1 & 2	
AUDIT SUPPORT SUPERVISOR	5613	1 & 2	
AUDITOR	5605	1 & 2	
BLDG SERVICES MGMT ANALYST	4808	4	
BOARD MEMBER	9998	1 & 2	
BOARD SECRETARY	4210	1 & 2	
BUDGET MGR	1051	2	
BUILDING SERVICES MGR	4801	4	
BUYER	6213	2	

CASH COUNTING SUPV	1009	4	
CHANGE CONTROL MANAGER	6406	2	
CHIEF ADMIN ANALYST	1205	2	
CHIEF ADMIN SERVICES OFFICER	4303	1 & 2	
CHIEF AUDITOR	5600	1 & 2	
CHIEF CAPITAL MANAGEMENT OFFICER	4198	1 & 2	
CHIEF COMMUNICATIONS OFFICER	4999	1 & 2	
CHIEF ETHICS OFFICER/MGR	4228	1 & 2	
CHIEF EXEC OFCR	4211	1 & 2	
CHIEF INFORMATION AND TECHNOLOGY AUDITOR	5609	1 & 2	
CHIEF FINANCIAL SERVICES OFFICER & TREASURER	4302	1 & 2	
CHIEF INFORMATION OFFICER	5410	1 & 2	
CHIEF OPERATIONS OFFICER	4196	1 & 2	
CHIEF PLANNING OFFICER	4203	1 & 2	
CHIEF, REAL PROPERTY MANAGEMENT & DEVELOPMENT	7598	1 & 2	
COMM & CNTRL SYS ENGR II	3817	4	
COMM & CNTRL SYS ENGR I	3818	4	
COMMUNICATIONS MGR	5003	2	
COMMUNITY RELATIONS MGR	5033	4	
COMMUNITY RELATIONS OFFICER	5022	4	
CONFIG DOC CONTROL MGR	6425	2	
CONFIG MGMT MGR	6408	1 & 2	
CONSTRUCTION INSPECTOR	3812	1 & 2	
CONSTRUCTION MGR	2001	1 & 2	
CONSTRUCTION SAFETY ENGR	8105	1 & 2	
CONSTRUCTION SAFETY MGR	8107	1 & 2	
CONTRACT ADMIN MGR	2402	1 & 2	
CONTRACT ADMINISTRATOR	2404	1 & 2	
CONTRACT PRE-QUAL MANAGER	5620	1 & 2	
CONTRACT SERVICES FLD REP	9630	4	
CONTRACTOR REVIEW ANALYST	5610	4	
CONTROLLER	1016	1 & 2	
COST ESTIMATING MGR.	6399	1 & 2	
COST ESTIMATOR	6404	4	
COUNTY COUNSEL	9994	1 & 2	
CREATIVE DIRECTOR	8415	1 & 2	
CREATIVE DESIGN MANAGER	8416	2	
CREATIVE SERVICES MANAGER	8413	2	
CUSTOMER PROG & SERVICES SUPV	5050	2	
DATABASE ADMIN MANAGER	5425	2	
DEBT MANAGER	1030	1 & 2	
DEO BOARD SERVICES & GOV'T. RELATIONS	5821	1 & 2	
DEO, COMMUNICATIONS	5006	1 & 2	

DEO ENGRG	3801	1 & 2	
DEO OPERATIONS	4217	1 & 2	
DEO PUBLIC RELATIONS	5024	2	
DEO STRATEGIC DEVELOPMENT FACILITIES/OPERATIONS	4249	1 & 2	
DEO, COMMUNITY RELATIONS	5013	2	
DEO, CREATIVE SERVICES	5018	2	
DEO, DIVERSITY & ECONOMIC OPPORTUNITY	2411	1 & 2	
DEO, FEDERAL ADVOCACY & GOV REL	5818	1 & 2	
DEO, GENERAL SERVICES	4806	1 & 2	
DEO, HUMAN RESOURCES	5200	1 & 2	
DEO, LABOR RELATIONS	3611	2	
DEO, POLICY, RESEARCH & LIBRARY SERVICES	5822	1 & 2	
DEO, PRJ MGT	4206	1, 2 & 3	
DEO, PROCUREMENT	6202	1 & 2	
DEO, RAIL OPS	7003	1 & 2	
DEO, CNTYWIDE PLNG & DEVLPMNT	9929	1 & 2	
DEO, REAL ESTATE	7600	1 & 2	
DEO, SYSTEM SAFETY & SECURITY	8100	1 & 2	
DEO, WAYSIDE SYST ENGRG & MAINT	7220	1 & 2	
DEP COUNTY COUNSEL	9995	1 & 2	
DEPUTY CEO/COO	4212	1, 2 & 3	
DEPUTY CHIEF CAPITAL MANAGEMENT	4194	1 & 2	
DEPUTY INSP GEN - AUDIT	1102	1 & 2	
DEPUTY INSP GEN - INVESTG	1103	1 & 2	
DESIGNATED CONSULTANT	9997	5	
DIR CONSTRUCTION MGMT	2002	1 & 2	
DIR FACILITIES MAINT	4601	1 & 2	
DIR OF BUDGET	1050	1 & 2	
DIR OF ACCOUNTING	1018	1 & 2	
DIR OF AUDIT	5602	1 & 2	
DIR OF CAPITAL PROJ, FACS OPERATIONS	4621	1 & 2	
DIR OF CONTRACT ADMIN	2401	1 & 2	
DIR OF CORPORATE SAFETY	8031	2	
DIR OF CUSTOMER RELATIONS	3014	2	
DIR OF EQUIPMENT ENGRG	3301	1 & 2	
DIR OF GENERAL SERVICES	4800	1 & 2	
DIR OF HUMAN RESOURCES	5214	1 & 2	
DIR OF INTELLIGENCE & EMERGENCY PREPAREDNESS MANAGEMENT	8819	1 & 2	
DIR OF INVENTORY MGMT	6223	1 & 2	
DIR OF OPERATIONS TRAINING	9634	2	
DIR OF PURCHASING	6220	1 & 2	
DIR OF QUALITY MGMT	6806	1 & 2	
DIR OF REAL PROP MGMT & DVLOPMNT	7602	1 & 2	

DIR, BUS & RAIL OPERATIONS CONTROL	7010	1 & 2	
DIR, COMMUNITY RELATIONS	5051	1 & 2	
DIR, COMMUNIC SRVCS	5040	1 & 4	
DIR, CONSTRUCTION SAFETY	8106	1 & 2	
DIR, CUST PROGRAMS & SERVICES	5038	1 & 2	
DIR, EQUIP/VEHICLE ACQUISITION	3820	2	
DIR, GEN LIABILITY CLAIMS ADMIN	8038	1 & 2	
DIR, INFO MGMT	5431	2	
DIR, METRO COMMUTER SERVICES	5042	1 & 2	
DIR, OPERATIONS SYSTEM SUPPORT	9642	2	
DIR, OPERATIONS TRAINING & IMPROV	9643	2	
DIR, OPS SUPPORT	9626	2	
DIR, PROJECT CONTROL	6424	2	
DIR, PROJ ENGRG	3814	1 & 2	
DIR, PUBLIC ARTS & DESIGN	5052	1 & 4	
DIR. OF PROGRAM MANAGEMENT	6422	1 & 2	
DIRECTOR OF OPERATIONS & SERVICE DELIVERY	5428	2	
DIRECTOR OF OPERATIONS CONTROL	9635	2	
DIRECTOR OF REGIONAL TRANSPORTATION, PLANNING & DEVELOPMENT	9907	1 & 2	
DIR, RAIL VEH ACQUISITION & MAINT	7218	2	
DIR, REVENUE COLLECTIONS	1040	1 & 2	
DIR, SERVICE PERFORMANCE & ANLS	8200	1 & 2	
DIR, SERVICE PLANNING	8229	1 & 2	
DIRECTOR OF STRATEGIC & ORGANIZATIONAL PLANNING	1056	1 & 2	
DIRECTOR, SYSTEM ARCHITECTURE & TECHNOLOGY INTEGRATION	5429	1 & 2	
DIR, RAIL FACIL & CUSTODIAL SERVICES	7219	1 & 4	
DIR, RAIL TRANSPORTATION OPERATION	7009	1 & 2	
DIR, SECURITY	8820	1 & 2	
DIR, TAP TECHNOLOGY SYSTEMS	3824	1 & 2	
DIRECTOR, TRANSPORTATION CONTRACT SERVICES	9636	1 & 2	
DIR, TRANSP PROGRAM DEVELOPMENT	5039	1 & 2	
DIRECTOR, WAYSIDE SYSTEMS ENG/ACTIVATION	7214	1 & 2	
DIR, WC CLAIMS ADMINISTRATION	8039	1 & 2	
DIV, ECON OPPT MGR	2410	1 & 2	
DIV, ECON OPPT SUPV	2409	4	
DIV PERF SUPPORT MGR	7008	2	
DOCUMENT PRODUCTION SUPV	8212	4	
EEO PROG INVESTIGATOR	2602	3 & 4	
EEO PROGRAMS MGR	2604	2	
EMPLOYEE/LABOR RELATIONS REP	3609	2	

ENGINEER	3807	1 & 2	
ENGINEERING ASSOC	3810	4	
ENVIRONMENTAL COMPLIANCE & SERVICE MANAGER	2200	1 & 2	
EO, ADMIN	4300	1 & 2	
EO, CONGESTION REDUCTION INITIATIVE	9930	1 & 2	
EO, FINANCE	4301	1 & 2	
EO, OFC/PRO	6199	1 & 2	
EO, OPRNS	4204	1 & 2	
EO, PROJECT MGMT	4199	1 & 2	
EO, RISK MANAGEMENT & SAFETY	8000	1 & 2	
EO, COUNTYWIDE PLNG & DEVLPMNT	9928	1 & 2	
EO, OFFICE OF MGMT & BUDGET	4304	1 & 2	
EO, PROJECT SUPPORT	4193	1 & 2	
EQUIPMENT ENGRG MGR	3304	2	
EQUIPMENT ENGRG SUPV	3303	2	
EQUIPMENT MAINT MGR	4005	2	
EQUIPMENT MAINT SUPT	4004	1 & 2	
EQUIPMENT MAINTENANCE SUPERVISOR	4007	2	
EQUIPMENT SERVICE SUPERVISOR	4008	4	
ETHICS OFFICER	4223	1 & 2	
EXEC OFCR, PROJ CONTROL & ADM	6427	1 & 2	
FACILITIES MAINT SUPV	4606	4	
FACILITIES MAINTENANCE MANAGER	4604	1 & 4	
FINANCE MGR	1045	1 & 2	
FINANCIAL ANALYST	1036	2	
FORENSIC COMPUTER ADMNSTRATOR	1112	1 & 2	
GEN MGR, SERVICE SECTOR	9631	1 & 2	
GENERAL MANAGER, RAIL OPERATIONS	9632	2	
GENERAL SERVICES MANAGER	4803	2	
GENERAL SERVICES SUPERVISOR	4802	1 & 2	
GOVERNMENT RELATIONS MGR	5802	2	
GOVERNMENT RELATIONS OFFR	5805	4	
GOVTL RELS ADMINISTRATOR	5803	4	
GRIEVANCE HIRING OFFICER	3610	2	
HUMAN RESOURCES MGR	5212	2	
INJURED WORKER ADVOCATE	8024	4	
INSPECTOR GENERAL	1107	1 & 2	
INSPECTOR GENERAL INVSTGR	1106	1 & 2	
INVENTORY CONTROL SUPV	6207	4	
INVESTIGATIONS MGR	1109	1 & 2	
INVESTIGATIONS SUPERVISOR	1108	1 & 2	
INVESTIGATOR, WC INVESTIGATION	8029	2	
ITS ADMIN/PLANNING MGR	5440	2	
LABOR RELATIONS ARBITRATION MGR	3613	1 & 2	
LABOR RELS ARBITRA SPECLT	3607	3 & 4	
LEGAL SERVICES MANAGER	8609	4	

MAINT MANAGER	9622	2	
MANAGER, WORKERS COMP INVESTIGATION	8027	1 & 2	
MARKETING & COMMUNICATIONS OFFICER	5023	4	
MATERIAL PLANNING MGR	6218	2	
MATERIEL MGR	6209	2	
MATERIEL SUPV	6211	4	
MEDICAL STANDARDS AND COMPLIANCE ADMINISTRATOR	5229	2	
MANAGER, TCU LABOR & EMP RELS	3612	1 & 2	
MTRST SERVICES TECHNICAL ADMINISTRATOR	9895	4	
NETWORK ADMINISTRATOR	3809	4	
NETWORK ENGINEER	3808	4	
NEW BUSINESS DEVELOPMT MGR	4234	2	
NETWORK SUPPORT MANAGER	5434	2	
NETWORK SUPPORT SUPERVISOR	5435	2	
OFFICE SUPERVISOR	8614	4	
OPRNS & MAINT SYS/SAF MGR	8104	1 & 2	
OPERATIONS COMMUNITY RELS MGR	9645	1 & 4	
OPERATIONS SYST SUPPORT ADMNSTR	9644	1 & 4	
OPRNS PERF ANALYSIS MGR	9616	2	
OPS ASSTNT INSTR MGR	9640	2	
OPS CONTROL CENTER MGR	9607	2	
HUMAN RESOURCES SUPERVISOR	5206	3 & 4	
PENSION & BENEFITS SUPERVISOR	5225	1 & 2	
POLICY, RESEARCH, & LIBRARY SERVICES ADMINISTRATOR	5823	4	
PRNCPL ACCOUNTANT	1017	2	
PRINCIPAL BUDGET ANALYST	1058	1 & 2	
PRNCPL DEP COUNTY COUNSEL	9991	1 & 2	
PRINCIPAL ENVIRONMENTAL SPECIALIST	2207	1 & 2	
PRNCPL ETHICS OFFICER	4229	1 & 2	
PRINCIPAL FINANCIAL ANALYST	1059	1 & 2	
PRNCPL INDUSTRIAL HYGIENIST	8035	2	
PRNCPL INVSTGTR, WC INVSTGTNS	8036	2	
PRINCIPAL RISK ANALYST	8030	1 & 2	
PRINCIPAL TECH. ESTIMATOR	6400	2	
PRINTING SERVICES SUPV	8401	4	
PROCUREMENT ADMIN SUPV	6217	1 & 2	
PROCUREMENT PLNG ADMNSTRTR	2412	2	
PRODUCTION MANAGER	8414	2	
PROJ MGR, REAL ESTATE	7605	1 & 2	
PROJECT CONTROL MGR	6409	2	
PROJECT CONTROL SUPV	6420	2	
PROJECT ENGINEER	3805	2	

PROJECT ENGINEERING MGR.	3813	2	
PROJECT MGR, FACILITIES OPERATIONS	4619	2	
PROPERTY SALES COORD	6208	4	
PURCHASE CONTRACT MGR	6205	2	
QUALITY ASSURANCE MGR	6805	2	
RAIL COMMUNICATIONS SUPV	4605	4	
RAIL DIV TRANSP MGR	7203	2	
RAIL EQUIP MAINT SUPV	7005	4	
RAIL FLEET SVC MGR	7002	2	
RAIL FLEET SVC WARNTY QUAL MGR	6800	2	
RAIL INTEGRATION AND INSTRUCTION MANAGER	7212	2	
RAIL SIGNAL SUPV	4609	4	
RAIL TRACK SUPV	4608	4	
RAIL TRACTION SUPV	4610	4	
REAL ESTATE OFFICER	7607	1 & 2	
RECORDS & MAIL SVCS MGR	7801	4	
RECORDS MGMT SUPV	7802	4	
REVENUE AUDIT SUPERVISOR	1038	2	
REVENUE EQUIPMENT SUPV	1044	4	
RISK FINANCING MGR	8021	1 & 2	
SAFETY CERTIFICATION & OPERATIONS MANAGER	8102	1 & 2	
SAFETY COMPLIANCE INSP	5633	1 & 2	
SAFETY SPECIALIST	8006	4	
SCHEDULE CHECKING SUPV	8206	4	
SCHEDULE PLANNER	8208	4	
SCHEDULES SUPV	8207	4	
SECTOR COUNCIL MEMBER	9996	1 & 2	
SENIOR INSPECTOR GENERAL INVESTIGATOR	1105	1 & 2	
SOFTWARE ENGINEER	5421	2	
SR ACCOUNTANT	1004	4	
SR ACCOUNTS REP	3010	4	
SR ADMIN ANALYST	1212	4	
SR ARCHITECT	1401	1 & 2	
SR AUDITOR	5604	1 & 2	
SR BUDGET ANALYST	1052	4	
SR BUYER	6212	1 & 2	
SR CASH COUNTING SUPV	1010	4	
SR COMMUNITY RELATIONS OFFICER	5031	4	
SR CONF MGMT ANALYST	6415	2	
SR CONTRACT ADMIN	2403	1 & 2	
SR COST ESTIMATOR	6403	1 & 2	
SR COST/SCHEDULE ANALYST	6412	1 & 2	
SR CUSTOMER SERVICE OFFICER	3017	4	
SR DEP COUNTY COUNSEL	9992	1 & 2	

SR DEPARTMTL SYS ANALYST	5450	4	
SR DEV & TRAINING SPEC.	5221	4	
SR DIVERSITY & ECONOMIC OPPORTUNITY REP	2407	1 & 2	
SR EEO PROG INVESTIGATOR	2603	3 & 4	
SR ENGINEER	3806	1 & 2	
SR ETHICS OFFICER	4218	1 & 2	
SR FINANCIAL ANALYST	1037	2	
SR HR ANALYST	5217	4	
SR INSPECTOR GENERAL INVS	1105	1 & 2	
SR MARKETING AND COMMUNICATIONS OFFICER	5034	4	
SR MATERIEL SUPV	6210	4	
SR PENSION & BENEFITS ANALYST	5224	2	
SR PL/PD ANALYST	8014	1 & 2	
SR PROGRAM MGMT ANALYST	6418	2	
SR PROGRAMMER ANALYST	5412	4	
SR PUBLIC AFFAIRS OFCR	6603	4	
SR PUBLIC ARTS OFFICER	6703	4	
SR PUBLIC COMMUNICATIONS OFFICER	6705	4	
SR QUALITY ENGR/AUDITOR	6809	1 & 2	
SR REAL ESTATE OFCR	7606	1 & 2	
SR RISK ANALYST	8015	2	
SR SAFETY SPECIALIST	8019	1 & 2	
SR SCHEDULE CHKG SUPV	8204	4	
SR THIRD PARTY ADMIN	9401	1 & 2	
SR WORKERS' COMP ANALYST	8012	4	
SR. ACCOUNT EXECUTIVE	5026	4	
SR. CONSTRUCTION MGR.	6413	1 & 2	
SR. ENGINEERING MGR.	3799	1 & 2	
SR. INDUSTRIAL HYGIENIST	8008	4	
STOPS & ZONES SUPV	4614	1 & 2	
SUPERVISING ARCHITECT	1406	1 & 2	
SUPERVISING ENGINEER	3804	1 & 2	
SUPVG AUDITOR	5608	1 & 2	
SVC DVLPMNT MGR	8202	1 & 2	
SYSTEMS MAINT MGR	5436	2	
SYSTEMS MAINTENANCE SUPV	5432	4	
SYSTEMS MGR., SERVICE PERFORMANCE ANALYSIS	8217	2	
SYSTEMS PROGRAMMER	5406	4	
SYSTEMS PROGRAMMING SUPV	5405	4	
SYSTEMS PROJECT MGR	5404	2	
SYSTEMS SAFETY MGR	8103	1 & 2	
TEL SYSTEM INTEGRATION MGR	5419	1 & 2	
THIRD PARTY ADMINISTRATION MANAGER	9403	1 & 2	
THIRD PARTY ADMINISTRATOR	9402	1 & 2	

THIRD PARTY ADMIN SUPERVISOR	9405	2	
TRANSIT RESOURCE PLANNING MGR	8228	1 & 2	
TRANSIT SECURITY LIEUT	8817	2	
TRANSIT SECURITY SGT	8816	4	
TRANSPORTATION CONTRACT SERVICES MANAGER	9627	1 & 2	
TRANSP FUNDING MANAGER	9912	1 & 2	
TRANSP MANAGER	9604	2	
TRANSP PLNG MGR III	9899	1 & 2	
TRANSP PLNG MGR V	9894	1 & 2	
TRANSP PLNG MGR IV	9900	1 & 2	
TRANSP SUSTAINABILITY ENERGY MGR	6430	2	
VEHICLE ACQUISITION MGR	3819	2	
WAYSIDE SYSTEMS MANAGER	7213	1 & 2	
WEBMASTER	5032	4	
WORKERS' COMP SUPV	8022	2	

EFFECTIVE:

CONFLICT OF INTEREST CODE OF THE POMONA UNIFIED SCHOOL DISTRICT

Incorporation of FPPC Regulation 18730 (2 California Code of Regulations, Section 18730) by Reference

The Political Reform Act (Government Code Section 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. 18730) which contains the terms of a standard conflict of interest code. After public notice and hearing it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Exhibits designating officials and employees and establishing disclosure categories, shall constitute the conflict of interest code of the Pomona Unified School District.

Place of Filing of Statements of Economic Interests

All Officials and Designated Employees of the District shall file their statements of economic interests with the Administrative Secretary, Business Services as the District's filing officer. The filing officer shall make and retain a copy of all statements filed by the members of the Board of Education and the Superintendent, and forward the originals of such statements to the Executive Office of the Board of Supervisors of Los Angeles County. The filing officer shall retain the originals of the statements of all other Designated Employees and make the statements available for public inspection and reproduction.

**POMONA UNIFIED SCHOOL DISTRICT
DISCLOSURE CATEGORIES
EXHIBIT "A"**

The disclosure categories listed below identify the types of investments, business entities, sources of income, or real property, which the Designated Employee must disclose for each disclosure category to which he or she is assigned.

CATEGORY 1

Persons in this category shall disclose all interest in real property within the jurisdiction. Real Property shall be deemed to be within the jurisdiction if the property or any part of it is located within or not more than two miles outside the boundaries of the jurisdiction or within two miles of any land owned or used by the agency.

CATEGORY 2

Persons in this category shall disclose all investments and business positions.

CATEGORY 3

Persons in this category shall disclose all income and business positions.

CATEGORY 4

Persons in this category shall disclose all business positions, investments in, or income (including gifts and loans) received from business entities that manufacture, provide or sell services and/or supplies of a type utilized by the agency and associated with the job assignment of designated positions assigned to this disclosure category.

CATEGORY 5

Individuals who perform under contract the duties of any designated position shall be required to file Statements of Economic Interests disclosing reportable interest in the categories assigned to that designated position.

In addition, individuals who, under contract, participate in decisions which affect financial interests by providing information, advice, recommendation or counsel to the agency which could affect financial interest shall be required to file Statement of Economic Interests, unless they fall within the Political Reform Act's exceptions to the definition of consultant. The level of disclosure shall be as determined by the executive officer (or head) of the agency.

EXHIBIT "B"

POMONA UNIFIED SCHOOL DISTRICT

<u>Designated Positions</u>	<u>Disclosure Categories</u>
Director, Pupil Resources & School Climate	4
Chief Academic Officer	2, 3
Administrative Director, Elementary Education	2, 3
Administrative Director, Secondary Education	2, 3 Add
Assistant Superintendent, Pupil & Community Services	2, 3
Director, Capital Facilities	1, 2, 3
Administrative Director, Child Development	4
Construction Manager	1, 4
Deputy Superintendent II, Personnel Services	1, 2, 3
Director, Research & Assessment	4
Business Manager	4
Administrative Director, Food & Nutrition Services	4
Director, Pupil Resources & School Climate	4
Director, Maintenance and Operations	4
Director, Information Technology	4
Principal (all)	4
Principal-on-Assignment, Personnel	2, 3
Program Administrator, Communications	4
Director, Personnel Services, Certificated Personnel	2, 3

EXHIBIT "B"

POMONA UNIFIED SCHOOL DISTRICT (CONT'D)

<u>Designated Positions</u>	<u>Disclosure Categories</u>
Director, Purchasing	4
Director, Special Education	4
Director, Adult Education	4
Director, Constituent Services	4
Director, Elementary Education	4
Director, Health Services	4
Director, Professional Development	4
Director, Instructional Technology	4
Director, Risk Management	2, 3
Director, Safe Schools/Healthy Students	4
Director, Student Programs & Interventions	4
Consultant 1]	

EXHIBIT "B"

POMONA UNIFIED SCHOOL DISTRICT (CONT'D)

Public Officials Who Manage Public Investments:

It has been determined that the positions listed below manage public investments and will file a Statement of Economic Interests pursuant to Government Code Section 87200 et seq.

Members of the Board of Education

Superintendent

Assistant Superintendent, Chief Financial Officer

Finance Director

1) **Consultants** shall be included in the list of Designated Employees and shall disclose pursuant to the broadest disclosure category in this Code subject to the following limitation:

The Superintendent may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that are limited in scope and thus is not required to fully comply with the disclosure requirements described in this Section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The Superintendent's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.

EFFECTIVE:

Conflict of Interest Code
of the

West Basin Municipal Water District

Incorporation of FPPC Regulation 18730 (2 California Code of Regulations, Section 18730) by Reference

The Political Reform Act (Government Code Section 81000, *et seq.*) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. 18730), which contains the terms of a standard conflict of interest code. After public notice and hearing, it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730, and any amendments to it duly adopted by the Fair Political Practices Commission, are hereby incorporated into the conflict of interest code of this agency by reference. This regulation and the attached Exhibits designating officials and employees and establishing economic disclosure categories shall constitute the conflict of interest code of this agency.

Place of Filing of Statements of Economic Interests

All officials and employees required to submit a statement of economic interests shall file their statements with the General Manager, or his or her designee. The District shall make and retain a copy of all statements filed by its Directors and General Manager, and forward the originals of such statements to the Executive Office of the Board of Supervisors of Los Angeles County.

The agency shall retain the originals of statements for all other Designated Positions named in the agency's conflict of interest code. All retained statements, original or copied, shall be available for public inspection and reproduction (Gov. Code Section 81008).

West Basin Municipal Water District

EXHIBIT A

DISCLOSURE CATEGORIES

The following categories are established for the purpose of conflicts of interest disclosure:

Category 1. Persons in this category shall disclose all interests in real property within the jurisdiction. Real property shall be deemed to be within the jurisdiction if the property or any part of it is located within or not more than two miles outside the boundaries of the jurisdiction, or within two miles of any land owned or used by the District.

Persons are not required to disclose property used primarily as their residence or for personal recreational purposes.

Category 2. Persons in this category shall disclose all investments and business positions.

Category 3. Persons in this category shall disclose all income and business positions.

Category 4. Persons in this category shall disclose all business positions, investments in, or income (including gifts and loans) received from business entities that manufacture, provide or sell services and/or supplies of a type utilized by the District and associated with the job assignment of designated positions assigned this disclosure category.

Category 5. Individuals who perform under contract the duties of any designated position shall be required to file Statements of Economic Interests disclosing reportable interest in the categories assigned to that designated position.

In addition, individuals who, under contract, participate in decisions, which affect financial interests by providing information, advice, recommendation, or counsel to the agency, which could affect financial interest, shall be required to file Statements of Economic Interests, unless they fall within the Political Reform Act's exceptions to the definition of consultant. The level of disclosure shall be as determined by the General Manager of the District.

West Basin Municipal Water District

EXHIBIT B

DESIGNATED POSITIONS

The following officers and employees shall file Statements of Economic Interests for the specified disclosure categories:

<u>Designated Employees</u>	<u>Category</u>
Directors	1, 2, 3
General Counsel	1, 2, 3
General Manager	1, 2, 3
Operations Manager	1, 4
Assistant General Manager/Chief of Engineering and Operations	1, 2, 3
Human Resources Manager	4
Board/Administrative Services Manager	4
Public & Governmental Affairs Manager	4
Chief Financial Officer	2, 3
Engineering Manager	1, 4
Principal Water Resources Engineer	1, 4
Planning & Water Resources Manager	4
Recycled Water Program Manager	4
Sr. Water Conservation Specialist	4

West Basin Municipal Water District
EXHIBIT B (Continued)
DESIGNATED POSITIONS

Public Affairs Specialist II	4
Public Affairs Specialist III	4
Public Affairs Specialist I	4
Sr. Environmental Quality Specialist	4
Operations Project Manager	4
Budget and Finance Officer	4
Contracts Administrator	4
Consultants	5

EFFECTIVE: